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32,857

Express Mail Label No. EL 649756998US (Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS ADDRESS TO: Box Patent Application Washington, DC 20231 See MPEP chapter 600 concerning utility patent application contents. CD-ROM or CD-R in duplicate, large table or Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing, Computer Program (Appendix) Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission 2. X See 37 CFR 1.27. (if applicable, all necessary) Specification [Total Pages (preferred arrangement set forth below) Computer Readable Form (CRF) - Descriptive title of the invention Specification Sequence Listing on: - Cross Reference to Related Applications i. CD-ROM or CD-R (2 copies); or Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix Statements verifying identity of above copies - Background of the Invention - Brief Summary of the Invention ACCOMPANYING APPLICATION PARTS - Brief Description of the Drawings (if filed) Assignment Papers (cover sheet & document(s)) - Detailed Description Power of - Claim(s) 37 CFR 3.73(b) Statement 10 - Abstract of the Disclosure Attorney (when there is an assignee) English Translation Document (if applicable) Drawing(s) (35 U.S.C. 113) [Total Sheets 4. X Copies of IDS Information Disclosure Citations Total Pages Statement (IDS)/PTO-1449 5. Oath or Declaration Preliminary Amendment 13 Newly executed (original or copy)
Copy from a prior application (37 CFR 1.63 (d)) Return Receipt Postcard (MPEP 503) (for continuation/divisional with Box 18 completed) (Should be specifically itemized) Certified Copy of Priority Document(s) (if foreign priority is claimed) DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) Nonpublication Request under 35 U.S.C. 122 named in the prior application, see 37 CFR 16. (b)(2)(B)(i). Applicant must attach form PTO/SB/35 1.63(d)(2) and 1.33(b). or its equivalent. Application Data Sheet. See 37 CFR 1.76 Other: 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: , 227, 184 of pnor application No. __60 Divisional Continuation-in-part (CIP) Continuation Examiner Group Art Unit For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS Correspondence address below Customer Number or Bar Code Label Name PATENT TRADEMARK OFFICE <u>Address</u>

Signature Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application,

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*Method for Utilizing Sol-Gel Processing in the Production of a Macroscopic Two or Three Dimensionally Ordered Array of Single Wall Nanotubes (SWNTs)

Scott J_Fields

PTO/SB/05 (03-01) Approved for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number UTILITY PATENT APPLICATION TRANSMITTAL

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re:

Utility Patent Application

Title of

Invention:

METHOD FOR UTILIZING SOL-GEL PROCESSING IN THE PRODUCTION OF A MACROSCOPIC TWO OR THREE DIMENSIONALLY ORDERED ARRAY

OF SINGLE WALL NATOTUBES (SWNTs)

Applicant:

Cynthia A. Kuper

CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR 1.10

"Express Mail" Mailing Label Number: EL649756998US

Date of Deposit: August 23, 2001

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above, addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

SCOTT J. FIELDS

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Date: August 23, 2001